

35.C10530CA02



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Examiner: Not Yet Assigned
KIYOFUMI SAKAGUCHI, ET AL.) Group Art Unit: 2812
Application No.: 09/161,774)
Filed: September 29, 1998)
For: PROCESS FOR PRODUCTION)
OF SEMICONDUCTOR)
SUBSTRATE) February 9, 1999

Assistant Commissioner for Patents
Washington, D.C. 20231

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RESUBMISSION OF
INFORMATION DISCLOSURE STATEMENT 08/863,717 JP 2100

Sir:

On October 21, 1998, applicants filed an
Information Disclosure Statement in this application.

The intent of this Information Disclosure Statement
was to make the references cited in the parent application,
08/863,717 of record in the parent case. Accordingly, no
copies of the references were included.

Subsequently, the Applicants received a Notice
dated January 7, 1999 in the parent application, stating that
the references submitted in the October 20, 1998 Information
Disclosure Statement would not be considered because they
were filed after allowance and payment of the Issue Fee.

Upon receipt of this Notice, Applicants'
representatives contacted the Examiner by telephone to point

out that the Information Disclosure Statement was intended for two divisional applications, not the parent 08/863,717.

In that telephone conference with the Examiner of the parent case, Examiner Fourson indicated that the PALM system did not show the filing of any Information Disclosure Statement in this application. The Examiner suggested, therefore, that applicants resubmit the Information Disclosure Statement together with the postcard receipt for the present case.

Accordingly, attached are copies of the October 20, 1998 Information Disclosure Statement, and a copy of the return receipt postcard indicating that it was received by the Patent and Trademark Office on October 21, 1998.

Since the return receipt postcard is *prima facie* evidence that the Information Disclosure Statement was timely filed, prompt consideration of the information cited is requested.

Moreover, Applicants are submitting a copy of this paper for filing in the parent application 08/863,717 to make it clear that the October 20, 1999 Information Disclosure Statement was not intended for that case, and the Notice dated January 7, 1999 is therefore moot.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All

correspondence should continue to be directed to our below listed address.

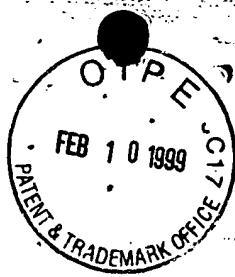
Respectfully submitted,

Laura A. Bauer
Attorney for Applicants

Registration No. 29,161

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

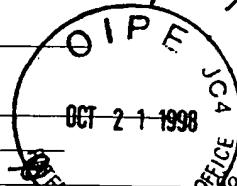
F501\A631690\ma



Assistant Commissioner for Patents
Washington, D.C. 20231

Date 10/21/98
Mo. Day Yr.

Atty. Docket 35-C10530
C/D2
Application No. Div. of
08/863,717



Sir:

Kindly acknowledge receipt of the accompanying:

- Response to Official Action.
- Check for \$ _____ (claims fee)
- Petition under 37 CFR 1.136 and Check for \$ _____
- Notice of Appeal and Check for \$ _____
- Information Disclosure Statement, PTO-1449 and _____ documents
- Claim for priority and certified copies of _____
- Issue fee transmittal and Check for \$ _____
- Other (specify) _____

by placing your receiving date stamp hereon and mailing or returning to deliverer.

Atty. LAW/ma

Due Date 10/21/98
Mo. Day Yr.

37 CFR 1.8
37 CFR 1.10
By Hand

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GROUP 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
KIYOFUMI SAKAGUCHI, ET AL.) Examiner: Not Yet Assigned
Divisional of) Group Art Unit: NYA
Application No.: 08/863,717 :
Filed: September 29, 1998)
For: PROCESS FOR PRODUCTION)
OF SEMICONDUCTOR)
SUBSTRATE) October 20, 1998

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under
37 C.F.R. § 1.56 and in accordance with the practice under
37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is
directed to the documents listed on the enclosed Form
PTO-1449.

Since these documents were cited in the prosecution
of the parent application, 08/863,717, no copies are
provided. Should the Examiner require a copy of any of the
references cited, he is kindly requested to contact the
undersigned attorney.

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CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should be directed to our new address given below.

Respectfully submitted,



Attorney for Applicants
Registration No. 29,767

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